

Form PTO-1390  
P21632:P01U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

P21632

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. (If known, see 37 CFR  
1.5)

09/926,407

INTERNATIONAL APPLICATION NO.

PCT/JP00/02726

INTERNATIONAL FILING DATE

26 April 2000

PRIORITY DATE CLAIMED

28 April 1999

TITLE OF INVENTION

HETEROCYCLIC CARBOXYLIC ACID DERIVATIVES

APPLICANT(S) FOR DO/EO/US

Hiroyuki KAGECHIKA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  
"Executed"
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).

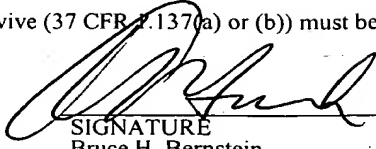
Items 11 to 16 below concern other document(s) or information included:

11. Assignee: INSTITUTE OF MEDICINAL MOLECULAR DESIGN, INC. of Tokyo, JAPAN
12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ Figure of Drawing to be published
18. ☒ Other items or information:  
Cover Letter.

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U.S. APPLICATION NO. (If known, see 37 CFR 1.51) 09/926,407				INTERNATIONAL APPLICATION NO. PCT/JP00/02726		ATTORNEY'S DOCKET NUMBER P21632	
19. <input checked="" type="checkbox"/> The following fees are submitted:  Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search report has been prepared by the EPO or JPO. . . . . \$ 890.00 International preliminary examination fee paid to USPTO (37 CFR 1.482). . . . . \$ 710.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO(37 CFR 1.445(a)(2)). . . . . \$ 740.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO. . . . . \$1,040.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4). . . . . \$ 100.00  ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS		PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <u>  20  </u> <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00			
Claims	Number Filed	Number Extra	RATE				
Total Claims	4 - 20 =	0	X \$18.00	\$0.00			
Independent Claims	1 - 3 =	0	X \$84.00	\$0.00			
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$0.00			
TOTAL OF ABOVE CALCULATIONS =				\$0.00			
<u>  </u> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00			
SUBTOTAL =				\$130.00			
Processing fee of \$130.00 for furnishing the English translation later than <u>  20  </u> <u>  30  </u> months from the earliest claimed priority date (37 CFR 1.492(f)).				+			
Extension of Time fee in the amount of \$							
TOTAL NATIONAL FEE =				\$130.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+			
TOTAL FEES ENCLOSED =				\$130.00			
				Amount to be refunded		\$	
				Charged		\$	
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$130.00</u> to cover the above fees is enclosed. b. <u>  </u> Please charge my Deposit Account No. <u>      </u> in the amount of \$ <u>      </u> to cover the above fees. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0089</u> .  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055 AT THE PRESENT ADDRESS OF: Bruce H. Bernstein GREENBLUM & BERNSTEIN, P.L.C. 1941 Roland Clarke Place Reston, VA 20191 (703) 716-1191							
				 SIGNATURE Bruce H. Bernstein NAME <u>Reg no. 33,084</u> 29,027 REGISTRATION NUMBER			

P21632

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : H. KAGECHIKA

Serial No : 09/926,407  
(National Stage of PCT/JP00/02726)

Filed : 26 April 2000

For : HETEROCYCLIC CARBOXYLIC ACID DERIVATIVES

**COVER LETTER**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In order to comply with the requirements (copy enclosed) for the submission of an executed declaration in the International Application set forth in the Notification mailed November 28, 2001 which sets a two month period of response until January 28, 2002, Applicants hereby submit:

- Transmittal Letter to the United States Designated/Elected Office Concerning a Filing

Under 35 U.S.C. 371

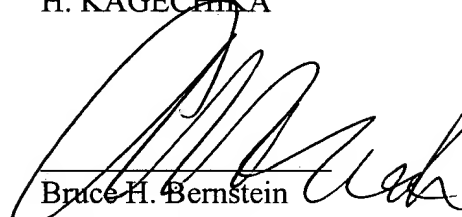
- an executed Declaration and Power of Attorney;

- a check in the amount of \$130.00 to cover the surcharge for late filing of the Declaration.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0089.

Should the Examiner have any questions, he is invited to contact the undersigned at the  
below listed number.

Respectfully submitted,  
H. KAGECHIKA

  
Bruce H. Bernstein  
Reg. No. 29,027

*Reg. No. 33,094*

January 28, 2002  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke  
Reston, VA 20191  
(703) 716-1191